



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ralph H. Johnson et al.

Examiner: unknown

Serial No.: 10/617,892

Group Art Unit: unknown

Filed: July 11, 2003

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D2 (1139.1124104)

TRANSMITTAL SHEET

Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

<u>C</u>	ERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States
	Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
	ERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 12313-1450 on this 5th day of February, 2004.

We are transmitting herewith the attached:

Information Disclosure Statement, Form PTO-1449 and 1 reference. [X]

Please charge any deficiencies or credit any overpayment in the enclosed fees to [X]

Deposit Account No. 50-0413.

Brian N. Tufte, Reg. No. 38,638 CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402 Telephone: (612) 677-9050

Facsimile:

(612) 359-9349





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ralph H. Johnson et al.

Examiner: unknown

Serial No.: 10/617,892

Group Art Unit: unknown

Filed: July 11, 2003

For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELS

Docket No.: H26341-D2 (1139.1124104)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

Postal Service on the date shown below with sufficient postal Commissioner for Patents, PO Box 1450, Alexandría, VA /2:	s correspondence is being	deposited with the United States
Postal Service on the date shown below with sufficient postal	ge as first/class mail in an	envelope addressed to the:
Commissioner for Patents, PO Box 1450, Alexandría, VA 22	2313-1430 on this 5th	day of February , 2004.

By: _______ M__UJUJ

Dear Sir/Madam:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully

Dated: February 5, 2004

Brian N. Tufte, Reg/No. 38,638

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349

FORM PTO-1449	Atty. Docket No.: H26341 D2 (1139.1124104)	Serial No.: 10/617,892		
N \$\displaystyle{\pi}	Applicant:			
PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION	Ralph Johnson et al.			
DISCLOSURE STATEMENT	Filing Date	Group Art:		
	July 11, 2003	unknown		

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
30							

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	J	Choquette, Kent D., et al., "Design of Oxide Aperture Profile within Selectively Oxidized VCSELs, IEEE, Conference Proceedings, LEOS '98, 11th Annual Meeting, Orlando, Florida, December 1-4, 1998, pages 179-180.
--	---	---

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.